

2173
PATENT #4

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Gregory Hintermeister et al : Date: January 16, 2003
Group Art Unit: 2173 : IBM Corporation
Examiner: Unassigned : Intellectual Property Law
Serial No.: 09/659,258 : Dept. 917, Bldg. 006-1
Filed: September 11, 2000 : 3605 Highway 52 North
Title: PICTORIAL-BASED USER INTERFACE : Rochester, Minnesota 55901
MANAGEMENT OF COMPUTER
HARDWARE COMPONENTS

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents
Washington, D.C. 20231
Sir:

Applicants request that the information listed on the attached Form PTO/SB/08A be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R. §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed herein were cited in a search report by a foreign patent office, a copy of which is attached hereto. If any references listed herein are in a language other than English, said foreign search report is submitted in satisfaction of the requirements of 37 C.F.R. 1.98(a)(3).

Serial No. 09/659,258
Docket No. ROC920000132US1

To the best knowledge of the undersigned, this Information Disclosure Statement is being filed under 37 C.F.R. §1.97(b)(3) before the mailing of a first Office action on the merits in the present application. No filing fee is required because each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement (certification provided below).

CERTIFICATION

I hereby certify that each item of information contained herein was cited for the first time in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement.


Leslie J. Payne

01/16/03
Date

Respectfully submitted,

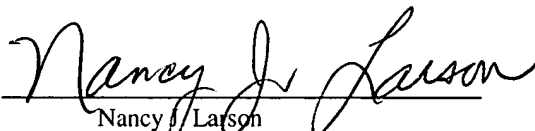
By 

Leslie J. Payne, Attorney
Registration No. 26,378

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the enclosed or attached correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231, on

January 16, 2003


Nancy J. Larson

Telephone: (507)253-2555
Fax: (507)253-2382